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DOCKET NO: L00461.70087.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wada et al.
Serial No.: 09/529,296
Confirmation No.: 5386
Filed: October 14, 1998
For: INTERFERON-GAMMA REGULATORY FACTORS

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Examiner: D. Jiang
Art Unit: 1646

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 25th day of October, 2002.

June Watson
June Watson

Commissioner for Patents
Washington, D.C. 20231

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Technology Center 2600

Sir:

Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 and References Cited
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is determined to be required, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

John R. Van Amsterdam
John R. Van Amsterdam, Reg No. 40,212
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No. L00461.70087.US
Dated: October 25, 2002
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Commissioner for Patents
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TECH CENTER 1600/2900**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.
No fee or certification is required.

PART II - Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

PART IV: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Serial No.: 09/529,296
Confirmation No.: 1646

Art Unit: 1646

An early and favorable action is hereby requested.

Respectfully submitted,
Wada et al., Applicant



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Docket No. L00461.70087.US
Dated: October 25, 2002
xNDD

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/529,296	DOCKET NO.: L00461.70007
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: October 14, 1998 (Int'l filing date)	
		APPLICANTS: Wada et al.	Confirmation No.: 16
		GROUP ART UNIT: 1646	EXAMINER: D. Jiang
Sheet	1	of	2

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		

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FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
	B1	WO	94/ 02156		Leland Stanford Jr. University	02-03-1994	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	C1	MOORE, <i>Annu. Rev. Immunol.</i> 9:159-191, 1991	
	C2	METCALF, <i>Blood</i> 67:257-267, 1986	
	C3	WITMER-PACK et al., <i>J. Exp. Med.</i> 166:1484-1498, 1987	
	C4	DRANOFF et al., <i>Proc. Acad. Nat'l Sci. USA</i> 90:3539-3543, 1993	
	C5	TAO et al., <i>Nature</i> 362:755-758, 1993	
	C6	JAGER et al., <i>Int. J. Cancer</i> 67:54-62, 1996	
	C7	GREWAL et al., <i>Nature</i> 378:617-620, 1995	
	C8	BLUESTONE, <i>Immunity</i> 2:555-559, 1995	
	C9	WHEELLOCK, <i>Science</i> 149:310-311, 1965	
	C10	FARRAR, and Schreiber, <i>Ann. Rev. Immunol.</i> 11:571-611, 1993	
	C11	KOBAYASHI et al., <i>J. Exp. Med.</i> 170:827-845, 1989	
	C12	TRINCHIERI, <i>Ann. Rev. Immunol.</i> 13:251-276, 1995	
	C13	TRIPP et al., <i>Proc. Natl. Acad. Sci. USA</i> 90:3725-3729, 1993	
	C14	BERG et al., <i>J. Clin. Invest.</i> 96:2339-2347, 1995	
	C15	GAJEWSKI et al., <i>J. Immunol.</i> 154:5637-5648, 1995	
	C16	PARRA et al., <i>J. Immunol.</i> , 158:637-642 (1997)	
	C17	FENTON et al., <i>J. Immunother.</i> , 21:2:95-108 (1998)	
	C18	RIDGE et al., <i>Nature</i> , 393:474 (1998)	
	C19	BENNETT et al., <i>Nature</i> , 393:478 (1998)	

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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449/A and B (Modified)				APPLICATION NO.: 09/529,296		ATTY. DOCKET NO.: L08417P0887	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				FILING DATE: October 14, 1998 (Int'l filing date)			
				APPLICANTS: Wada et al.			
				GROUP ART UNIT: 1646			
Sheet	2	of	2	EXAMINER: Unknown		NOV 07 2002	

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
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FOREIGN PATENT DOCUMENTS

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		Office/ Country	Number	Kind Code			

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	C20	SCHOENBERGER et al., <i>Nature</i> , 393:480 (1998)	RECEIVED
	C21	STANLEY et al., <i>Proc. Natl. Acad. Sci. USA</i> 91:5592-5596, 1994	NOV 08 2002
	C22	MAGRAN et al., <i>Immunity</i> 4:471-481, 1996	
	C23	SAUNDERS et al., <i>J Exp Med</i> 184(6): 2185-2196, 1996	TECH CENTER 1600/2900
	C24	OKAMURA et al., <i>Nature</i> 378:88-91, 1995	
	C25	USHIO et al., <i>J Immunol</i> , pp. 4274-4279, 1996	
	C26	SEDER et al., <i>Proc Natl Acad Sci USA</i> 90:10188-10192, 1993	RECEIVED
	C27	MANCA et al., <i>J Acquired Immun. Def. Syndromes</i> 7(1):15-23, 1994	
	C28	MICALLEF et al., <i>Eur J Immunol</i> 26(7):1647-1651, 1996	DEC 06 2002
	C29	DE SAINT-VIS et al., <i>J Immunol</i> 160(4):1666-1676, 1998	
	C30	WADA et al., <i>Proc Natl Acad Sci USA</i> 94(23):12557-12561, 1997	TECH CENTER 1600/2900
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			MAR 12 2003
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